



NOTTINGHAM CITY COUNCIL
AUDIT COMMITTEE

Date: Friday, 22 February 2019

Time: 10.45 am

Place: LB 31 - Loxley House, Station Street, Nottingham, NG2 3NG

Councillors are requested to attend the above meeting to transact the following business

Corporate Director for Strategy and Resources

Governance Officer: Catherine Ziane-Pryor **Direct Dial:** 0115 876 4305298

- | | | |
|----------|--|-----------|
| 1 | APOLOGIES FOR ABSENCE | |
| 2 | DECLARATIONS OF INTERESTS | |
| 3 | MINUTES
Of the meeting held on 18 January 2019 (for confirmation). | 3 - 6 |
| 4 | REVIEW OF ACCOUNTING POLICIES 2018/19
Report of the Strategic Director of Finance | 7 - 28 |
| 5 | COUNCIL PLAN CORPORATE PERFORMANCE REPORTING
Report of Corporate Director of Strategy and Recourses | 29 - 36 |
| 6 | TREASURY MANAGEMENT STRATEGY 2019/20 AND CAPITAL STRATEGY 2019/20
Report of the Strategic Director of Finance | To Follow |
| 7 | INTERNAL AUDIT PROGRESS REPORT Q3 2018/19 AND PROPOSED INTERNAL AUDIT PLAN 2019-20
Report of the Strategic Director of Finance | 37 - 52 |
| 8 | GRANT THORNTON EXTERNAL AUDIT PLAN
Report of the External Auditors | 53 - 74 |
| 9 | GOVERNANCE OF CITY COUNCIL COMPANIES UPDATE
Report of Corporate Director of Strategy and Resources | 75 - 80 |

10	COUNTER FRAUD STRATEGY & WHISTLE BLOWING PROCEDURE Report of Strategic Director of Finance	81 - 138
11	ANNUAL REPORT - HEALTH AND SAFETY UPDATE Report of Director of Legal and Governance	139 - 144
12	PARTNERSHIP GOVERNANCE - RESPONSE TO AUDIT COMMITTEE RECOMMENDATIONS Report of the Director of Strategy and Policy	145 - 156
13	ANNUAL GOVERNANCE STATEMENT – PROGRESS MADE TO DATE ON ISSUES REPORTED 2017/18 AND PROCESS FOR PRODUCING 2018/19 STATEMENT Report of the Strategic Director of Finance	157 - 166
14	RISK MANAGEMENT AND CORPORATE RISK REGISTER UPDATE Report of Strategic Director for Finance	167 - 168
15	EXCLUSION OF THE PUBLIC To consider excluding the public from the meeting during consideration of the remaining item in accordance with Section 100A(4) of the Local Government Act 1972 on the basis that, having regard to all the circumstances, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.	
16	RISK MANAGEMENT AND CORPORATE RISK REGISTER UPDATE - EXEMPT APPENDICES	169 - 174
17	INFORMATION GOVERNANCE AND COMPLIANCE ASSURANCE Report of the Director of Legal and Governance	175 - 182

IF YOU NEED ANY ADVICE ON DECLARING AN INTEREST IN ANY ITEM ON THE AGENDA, PLEASE CONTACT THE GOVERNANCE OFFICER SHOWN ABOVE, IF POSSIBLE BEFORE THE DAY OF THE MEETING

CITIZENS ATTENDING MEETINGS ARE ASKED TO ARRIVE AT LEAST 15 MINUTES BEFORE THE START OF THE MEETING TO BE ISSUED WITH VISITOR BADGES

CITIZENS ARE ADVISED THAT THIS MEETING MAY BE RECORDED BY MEMBERS OF THE PUBLIC. ANY RECORDING OR REPORTING ON THIS MEETING SHOULD TAKE PLACE IN ACCORDANCE WITH THE COUNCIL'S POLICY ON RECORDING AND REPORTING ON PUBLIC MEETINGS, WHICH IS AVAILABLE AT WWW.NOTTINGHAMCITY.GOV.UK. INDIVIDUALS INTENDING TO RECORD THE MEETING ARE ASKED TO NOTIFY THE GOVERNANCE OFFICER SHOWN ABOVE IN ADVANCE.