

Audit Committee Work Programme & Action Log

Proposed Work Programme

2021

Feb

Report in the Public Interest update & MHCLG Non-Statutory Review Report
Treasury Mgt & Capital Strategy and Treasury Mgt Half Year
Non-Executive Amendments to the Constitution
Local Government Ombudsman Report
Audit Committee Terms of Reference
Internal Audit Update
Review of Accounting Policies 2020/21

Mar

Report in the Public Interest & MHCLG Review update
Brexit Update
Risk Register Review
Statement of Accounts 2019-20
Final AGS 2019-20 & 2020-21 process
External Audit Reports and plan
Council Plan & Corporate Performance Assurance
Information Governance & Information Security Annual Assurance
Health & Safety Annual Assurance
- Financial Accounts Training Mar tbc

Apr / May

Report in the Public Interest & MHCLG Review update
Risk Management & Corporate Risk Register Update
Companies Governance update
Covid-19 & Emergency Plan Review

Apr / May ctd

Culture & Ethics
Audit Committee Terms of Reference & Work Programme
Equality & HR Assurance

Jun

Accounts Update & Draft Statement of Accounts 2020-21
Interim AGS 2020-21
Treasury Management Annual Report
Governance and Accountability of 3rd Party Arrangements
Report in the Public Interest & MHCLG Review update
EMSS Annual Report

Jul

Audit Committee Annual Report
Report in the Public Interest & MHCLG Review update
IA Annual Report & Opinion including
Counter Fraud Strategy & Whistleblowing Policy

Sep

External Audit Report
Statement of Accounts 2020-21
Final AGS 2020-21
Report in the Public Interest & MHCLG Review update
Customer Experience/Complaints
& Ombudsman Annual Assurance

Nov

Report in the Public Interest & MHCLG Review update
Treasury Management Half Year
Partnership Governance Framework & Annual Health Checks
Internal Audit Update

Key - Italicised items for noting, remainder for discussion

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Action Log

Date of meeting	Issue	Action taken	Target Date	RAG Rating
25 Sep 20	Sub groups	Report to November meeting	Complete	Green
	Update to PIR & NSR as part of Recovery & Improvement Plan	Update quarterly aligned with other monitoring forums	Quarterly	Green
	Training	Risk training 8Dec	Complete	Green
		Accounts training Mar tbc	March	Yellow
		General Audit Committee training dates tbc (PIR action)	March	Yellow
		Treasury management training 27 Nov	Complete	Green
	AGS	To be considered as part of finalisation process and final report expected Mar 2021	Mar	Yellow
	Whistleblowing	Obligation to report is in Employee Code of Conduct	Complete	Green
27 Nov 20	Brexit	Report to December meeting	December	Green
	Culture & Ethics	Report to April meeting	April	Yellow
18Dec20	Brexit	Extent to which risk of borrowing from Earmarked reserve taken into account, to be included in MTFS update	March	Yellow
		Circulation of full list of Brexit risks	Complete	Green

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