

## Final Audit Reports Issued in 2020/21 including level of assurance and breakdown of recommendations

Department	Division	Activity	Level of Assurance	High	Medium	Low
Commercial and Operations	Community Protection	Environmental Health & Safer Housing - Selective Landlord Licensing Follow-up	Limited Assurance	4	4	1
	<b>Community Protection Total</b>			<b>4</b>	<b>4</b>	<b>1</b>
<b>Commercial and Operations Total</b>				<b>4</b>	<b>4</b>	<b>1</b>
Counter Fraud		Counter Fraud - Proactive - Gifts, Hospitality & Declarations	Limited Assurance	2	2	0
	<b>Counter Fraud Total</b>			<b>2</b>	<b>2</b>	<b>0</b>
<b>Counter Fraud Total</b>				<b>2</b>	<b>2</b>	<b>0</b>
Development & Growth	Strategic Asset & Property Management	Corporate Property Maintenance	Significant Assurance	1	5	0
	<b>Strategic Asset &amp; Property Management Total</b>			<b>1</b>	<b>5</b>	<b>0</b>
	Traffic & Transport	Traffic & Safety Capital Projects - Follow Up	Limited Assurance	6	1	0
		Public Transport follow up	Limited Assurance	0	0	0
		Transforming Cities Fund	Significant Assurance	0	0	0
<b>Traffic &amp; Transport Total</b>			<b>6</b>	<b>1</b>	<b>0</b>	
<b>Development &amp; Growth Total</b>				<b>7</b>	<b>6</b>	<b>0</b>
NCC Corporate		Decision Making	Limited Assurance	3	0	0
	<b>Corporate Total</b>			<b>3</b>	<b>0</b>	<b>0</b>
<b>NCC Corporate Total</b>				<b>3</b>	<b>0</b>	<b>0</b>
Strategy and Resources	HR & Customer (Formerly Organisational Transformation)	Sickness Absence	Limited Assurance	2	2	1
		Disciplinary Process	Moderate Assurance	2	4	0
	<b>HR &amp; Customer (Formerly Organisational Transformation) Total</b>			<b>4</b>	<b>6</b>	<b>1</b>
	Information Technology	ECINS	Limited Assurance	8	9	0
		IT - Service Desk	Significant Assurance	0	1	1
		Management of cloud based applications (Software as a Service)	Moderate Assurance	4	7	0
	<b>Information Technology Total</b>			<b>12</b>	<b>17</b>	<b>1</b>
	Strategic Finance	LA Bus Subsidy Grant Claim	Grant Claim	0	0	0
		NPIF Grants Audit	Grant Claim	0	0	0
		Local Transport Capital Funding	Grant Claim	0	0	0
		Council Tax	Significant Assurance	0	5	1
		NNDR	Limited Assurance	4	7	5
		NCC Payroll and HR	Significant Assurance	0	1	0
	Capital Programme	Moderate Assurance	1	2	0	

Department	Division	Activity	Level of Assurance	High	Medium	Low
	<b>Strategic Finance Total</b>			<b>5</b>	<b>15</b>	<b>6</b>
	Strategy and Policy	NCC Performance Management	Moderate Assurance	0	5	1
	<b>Strategy and Policy Total</b>			<b>0</b>	<b>5</b>	<b>1</b>
<b>Strategy and Resources Total</b>				<b>21</b>	<b>43</b>	<b>9</b>
			<b>Grand Total</b>	<b>37</b>	<b>55</b>	<b>10</b>