

**Audit Committee Work Programme & Action Log**

**Proposed Work Programme**

Key - *Italicised* items for noting, remainder for discussion

**2021****May**

*Brexit Update*  
*Health & Safety Annual Assurance*  
Covid-19 & Emergency Planning  
Companies Governance Update  
Culture & Ethics  
*Equality & HR Assurance*  
*Audit Committee Terms of Reference*

**Jul**

- Financial Accounts Training tbc  
Draft Statement of Accounts 2020-21 & Interim AGS 2020-21  
External Audit Report 2019-20  
Statement of Accounts 2019-20 & Final AGS 2019-20  
Treasury Management Annual Report  
*Council Plan & Corporate Performance Assurance*  
Recovery & Improvement Plan Process Update  
*IA Annual Report & Opinion including Counter Fraud Strategy  
& Whistleblowing Policy*

**Sep**

External Audit Report  
Statement of Accounts 2020-21 & Final AGS 2020-21  
Audit Committee Annual Report  
*Customer Experience/Complaints  
& Ombudsman Annual Assurance*  
*EMSS Annual Report*

**Oct**

*(available in case of slippage in annual accounts timetable)*

**Nov**

Recovery & Improvement Plan Process Update  
Companies Governance Sub-Committee Update  
Treasury Management Half Year Report  
Corporate Risk Update  
*Internal Audit Update*

**Feb / Mar 2022**

Recovery & Improvement Plan Process Update  
Treasury Mgt Strategy & Capital Strategy  
AGS Process 2021-22 and 2020-21 AGS update  
Non-Executive Amendments to the Constitution  
SEND Annual Assurance Monitoring 2021/22  
*Annual Information Compliance Assurance*  
*Internal Audit Update*  
*Review of Accounting Policies 2020/21*

**May**

Corporate Risk Update  
Companies Update  
*Health & Safety Annual Assurance*  
*Equality & HR Assurance*

### Action Log

Date of meeting	Issue	Action taken	Target Date	RAG Rating
25 Sep 20	Training	Accounts training Jun tbc General Audit Committee training dates tbc (PIR action)	July	Yellow
	AGS	To be considered as part of finalisation process and final report expected July 2021	March	Green
			July	Yellow
27 Nov 20	Culture & Ethics	Report to May meeting	May	Green
18Dec20	Brexit	Extent to which risk of borrowing from Earmarked reserve taken into account, to be included in Budget 21/22 & MTFO update – Annex 5 p18 9.14	February	Green
		Circulation of full list of Brexit risks	February	Green
26Feb21	Sub groups	Include Working Group Update from lead councillor on each sub groups as standing agenda item after the action log	March	Green
	Ombudsman / SEND	Audit committee to receive as a one-off in 21/22 SEND Annual Assurance Monitoring	February 2022	Yellow
26Mar21	Recovery & improvement Plan	Observations of Improvement & Assurance Board to be provided to Risk & Assurance working group	July	Yellow

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