

**Audit Committee Work Programme & Action Log**

**Proposed Work Programme**

Key - *Italicised* items for noting, remainder for discussion

**2021****Jul**

- Financial Accounts Training tbc  
Draft Statement of Accounts 2020-21 & Interim AGS 2020-21  
Treasury Management Annual Report  
*IA Annual Report & Opinion including Counter Fraud Strategy  
& Whistleblowing Policy*

**Sep**

External Audit Report 2019-20  
Statement of Accounts 2019-20 & Final AGS 2019-20  
Audit Committee Annual Report  
*Customer Experience/Complaints  
& Ombudsman Annual Assurance*  
*EMSS Annual Report*

**Oct**

*Council Plan & Corporate Performance Assurance*  
Limited Assurance Internal Audit Report:  
Client update on progress  
*Internal Audit PSIAS Self-Evaluation and Scope for Peer Review*

**Nov**

External Audit Report  
Statement of Accounts 2020-21 & Final AGS 2020-21  
Recovery & Improvement Plan Process Update  
Companies Governance Sub-Committee Update  
Treasury Management Half Year Report  
Corporate Risk Update  
Culture & Ethics Update  
*Internal Audit Update*

**Feb / Mar 2022**

Recovery & Improvement Plan Process Update

Treasury Mgt Strategy & Capital Strategy  
AGS Process 2021-22 and 2020-21 AGS update  
Non-Executive Amendments to the Constitution  
SEND Annual Assurance Monitoring 2021/22  
*Annual Information Compliance Assurance*  
*Internal Audit Update*  
*Review of Accounting Policies 2020/21*

**May 2022**

Corporate Risk Update  
Companies Update  
*Health & Safety Annual Assurance*  
*HR & EDI Assurance*

Key - Italicised items for noting, remainder for discussion

### Action Log

Date of meeting	Issue	Action taken	Target Date	RAG Rating
25 Sep 20	Training 2019-20 AGS	Accounts training Jun tbc	July	
		To be considered as part of finalisation process and final report expected July 2021	July	
26Feb21	Ombudsman / SEND	Audit committee to receive as a one-off in 21/22 SEND Annual Assurance Monitoring	February 2022	
26Mar21	Recovery & improvement Plan	Observations of Improvement & Assurance Board to be provided to Risk & Assurance working group Risk & Assurance Working group feedback on Recovery & Improvement Board risk Register and proposal for feedback, critique, observations and recommendations on recommendations made by the Improvement Board to the Council	July	
28May21	Companies	Presentation on governance principles to be circulated to committee members	June	
	Companies	Shareholder unit terms of reference to include receiving group companies' accounts in line with group timetables	July	
	HR & EDI Assurance	Cllr Lakey to email portfolio holder for Finance & Resources regarding resources for addressing Sick Leave	July	
	Culture & Ethics	Audit Committee to receive update from Overview & Scrutiny, Standards Board and Executive Panel regarding Culture & Ethics	November	

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