

Business Continuity & Disaster Recovery

Executive Summary

<p>Department: Strategy & Resources</p>	<p>Overall Opinion: Limited Assurance </p>	<p>Direction of Travel: Some improvements have been identified. </p>
<p>Previous review: Business Continuity & Disaster Recovery Implications for IT – Sept 2013</p>	<p><u>Scope and Approach:</u> The scope of the audit involved reviewing of the following:-</p> <ul style="list-style-type: none"> • The Strategic Business Continuity risk register item and mitigations to ensure that all the key controls have been identified and that they are operating effectively. • To record and confirm where external assurances are provided. 	

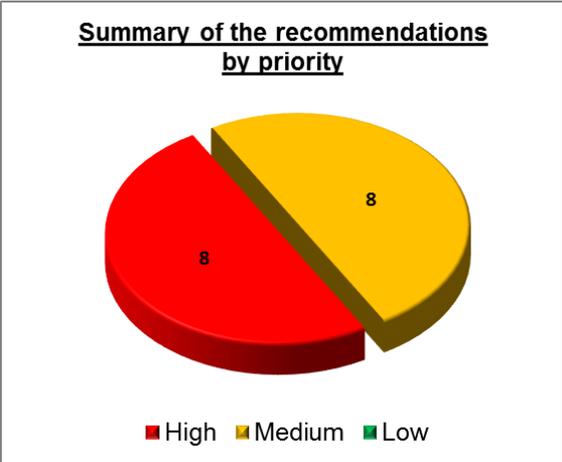
High Priority Recommendations

- 2018-19 R3 IT should be invited to attend the DEPLG, with new threats and opportunities for improving resilience being referred to the Senior Resilience Group for action.
- 2018-19 R7 CLT should be reminded of the need for all City Council service areas and subsidiaries to complete BCP plans and submit them to Emergency Planning.

Once complete CLT should determine the order in which IT Services should restore services in order that they can put appropriate measures and strategies in place to ensure recovery efforts are effective.
- 2018-19 R8 The RPO objective for each system should be clearly stipulated in order that IT can put in place the appropriate policies and strategies in order to meet the business requirements.
- 2018-19 R9 IT should be informed of the approved RTO and RPO requirements in order that they can assess whether or not the required expectations are being met or they need to produce proposals to support service delivery.

By providing this information to IT they will be able to ensure that they can plan for systems to be restored in an appropriate sequence and allow for an investment strategy to be developed which meets the needs of the City Council
- 2018-19 R10 Departmental plans should clearly stipulate where systems are being provided by cloud based providers as this may affect the RTO and the priority in which services are provided.

In addition the externally hosted service providers may need to know the RTO requirements in order that they can plan accordingly.



Continued

2018-19 R11 Departmental plans should be quality checked to ensure that data recorded is accurate and up to date.

2018-19 R15 The new Managers check list should incorporate the e-learning SMLG Emergency Planning requirement.

2018-19 R16 Policies and strategies should be developed to aid the testing and evaluation of plans.

In addition the strategies and policies should also encompass IT and the testing of disaster recovery plans so that they are co-ordinated.

Nottingham Castle Transformation - Movement of Museum Collections

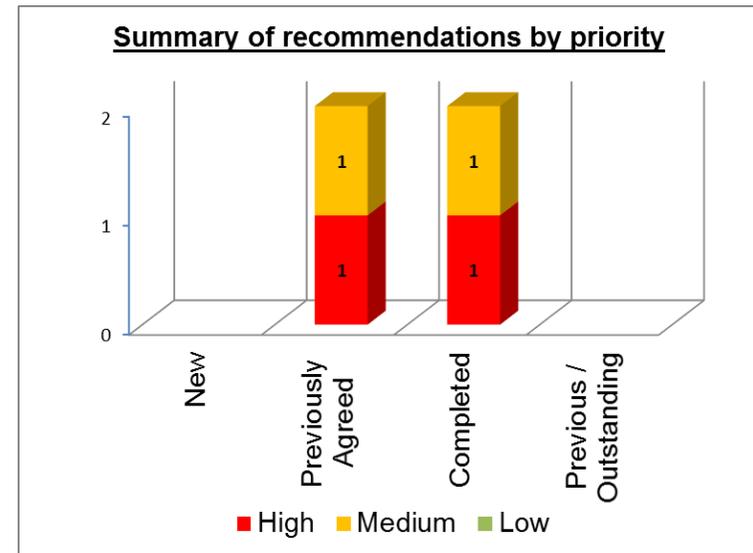
2017/18 Follow-up

Executive Summary

Organisation: Nottingham City Council Directorate: Commercial & Operations Previous reviews: Reviewed: February 2018	Overall Opinion: Significant Assurance 	Direction of Travel: Improving 
	<u>Scope and Approach:</u> Follow up of the recommendations made in the 2017/18 report	

High Priority Recommendations:

All recommendations are complete and no new recommendations have been made.



Property Acquisitions 2017/18 Follow Up

Executive Summary

Directorate: Property	Opinion:		Direction of Travel:
	Governance and Risk Management – Limited Assurance		
	Acquisition Process – Significant Assurance		
Previous reviews: Property Acquisitions 16 April 2018	<u>Scope and Approach:</u> Follow up of the recommendations made in the 2017/18 report.		

High Priority Recommendations:

R1 2018/19 The resourcing risk for property management should be escalated.

R1 2017/18 The investment strategy should be reviewed and refreshed to include specific details of risk management processes.

R2 a) 2017/18 The Investment Panel and SAM Board should consider what other assurance reports can be produced to assist the Board in its governance responsibilities and ensure these are regularly provided to the Board.

R3 2017/18 Risk Registers should be created for individual properties and the portfolio as a whole and reviewed as part of the risk management process.

R6 2017/18 a) A prompt to provide the Insurance Team with required information should be included and signed off on the acquisitions checklist.

R6 2017/18 b) Insurance risks should be identified and included on risk registers.

R7 2017/18 The team should investigate preparing regular post-acquisition reports covering all compliance, risks and opportunities to be received by the Head of Service as part of an assurance framework.

Summary of recommendations by priority

